

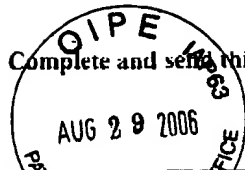
AUG-29-06 15:59

FROM-CROMPTON SEAGER TUFTE LLC

+6123599349

T-178 P.02/03 F-921

PAPER - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
 or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated in Block 1 below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FFF ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Now use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

26075

7590

06/09/2006

CROMPTON, SEAGER & TUFTE, LLC
1221 NICOLLET AVENUE
SUITE 800
MINNEAPOLIS, MN 55403-2420

Certificate of Mailing or Transmission
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address, above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Kathleen L. Boekley

(Depositor's Name)

Kathleen L. Boekley

(Signature)

August 29, 2006

(Date)

APPLICATION NO	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/832,631	04/11/2001	Robert K. Rowe	1023.1123101	1809

TITLE OF INVENTION: ENCODED VARIABLE FILTER SPECTROMETER

APPLN TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	09/11/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
LAVARIAS, ARNEL C	2872	356-418000

1. Change of correspondence address, or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47, Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. CROMPTON, SEAGER &

2. TUFTE, LLC

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY and STATE OR COUNTRY)

Rio Grande Medical Technologies, Inc.

Albuquerque, New Mexico

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies one (1)

4b. Payment of fee(s):

☐ A check in the amount of the fee(s) is enclosed☐ Payment by credit card. Form PTO-2038 is attached☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-0413 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2)

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

David M. Crompton

Date

8/29/06

Typed or printed name

David M. Crompton

Registration No.

36,772

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PAGE 2/3 * RCVD AT 8/29/2006 5:53:07 PM [Eastern Daylight Time] * SVR:USPTO-EFXXF-5/0 * DNIS:2732885 * CSID:+6123599349 * DURATION (mm-ss):01:38

08/30/2006 EFLORES1 00000041 500413 09832631

01 FC:1501

1400.00 DA

02 FC:1504

300.00 DA

03 FC:8001

3.00 DA



AUG-29-06 15:59

FROM-CROMPTON SEAGER TUFTE LLC

+6123599349

T-178 P.01/03 F-921

CROMPTON | SEAGER | TUFTE | LLC
Patent, Trademark & Copyright Attorneys

1221 Nicoller Avenue, Suite 800
Minneapolis, Minnesota 55403-2420
Phone 612.677.9050
Fax 612.359.9349

FAX TRANSMISSION COVER LETTER

TO: Commissioner for Patents
Attn: Mail Stop Issue Fee
P.O. Box 1450
Alexandria, VA 22313-1450

DATE: August 29, 2006
FROM: David M. Crompton
OUR REF: 1023.1123101
TELEPHONE: 612-677-9050

Total pages, including cover letter: 3

PTO FAX NUMBER: 571-273-2885

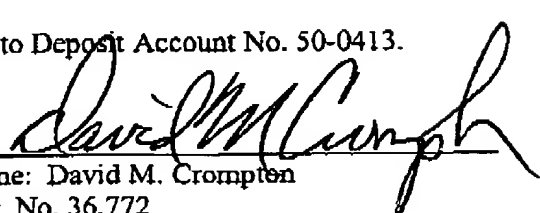
If you do NOT receive all of the pages, please telephone us at (612) 677-9050, or fax us at (612) 359-9349.

Title of Document(s) Transmitted: ISSUE FEE TRANSMITTAL IN DUPLICATE
Applicant: Robert K. Rowe et al.
Serial No.: 09/832,631
Filed: April 11, 2001
Group Art Unit: 2872
Our Ref. No.: 1023.1123101
Confirmation No.: 1809
Customer No.: 28075

Please charge Deposit Account No. 50-0413 as follows:

Fee Code <u>1501</u>	\$ <u>1,400</u>
Fee Code <u>1504</u>	\$ <u>300</u>
Fee Code <u>1801</u>	\$ <u>3</u>

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0413.

By: 
Name: David M. Crompton
Reg. No. 36,772

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.


Name: Kathleen L. Bockley

August 29, 2006
Date